

Receipt date: 10/30/2006



MAIL STOP PCT

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Docket No. 290762US0X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ulrike LICHT, et al.

SERIAL NO: 10/581,124

GAU:

FILED: May 31, 2006

EXAMINER:

FOR: METHOD FOR PRODUCING MINIEMULSION BLOCK POLYMERS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 290762US0X PCT | | SERIAL NO. 10/581,124 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Ulrike LICHT, et al. | | | |
| | | | | FILING DATE May 31, 2006 | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| /P.N./ | AO | 41 23 223 | 01/21/93 | DE(equivalent of US 5 741 845 & US 5 612 406) | | NO | |
| ↓ | AP | 102 41 294 | 03/18/04 | DE(equivalent of US 2005/0239954) | | NO | |
| | AQ | 02/064657 | 08/22/02 | WO(with English abstract & equivalent of US 2004/077777) | | NO | |
| | AR | 0 522 675 | 01/13/93 | EP(equivalent of US 5 741 845 & US 5 612 406) | | NO | |
| | AS | 03/083004 | 10/09/03 | WO(equivalent of US 2005/0124757) | | NO | |
| | AT | 100 18 601 | 10/25/01 | DE(equivalent of US 2003/143414) | | NO | |
| /P.N./ | AU | 198 52 784 | 05/18/00 | DE(equivalent of US 2002/032242) | | NO | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner /Patrick Niland/ | | | | | Date Considered 06/10/2009 | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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Ulrike LICHT, et al.

Docket No. 290762 US

STATEMENT OF RELEVANCY

- 1) References AP - AU have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) Reference AO is discussed in the specification. A copy of this reference is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.